

# On chromatic number of colored mixed graphs

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## Abstract

An  $(m, n)$ -colored mixed graph  $G$  is a graph with its arcs having one of the  $m$  different colors and edges having one of the  $n$  different colors. A homomorphism  $f$  of an  $(m, n)$ -colored mixed graph  $G$  to an  $(m, n)$ -colored mixed graph  $H$  is a vertex mapping such that if  $uv$  is an arc (edge) of color  $c$  in  $G$ , then  $f(u)f(v)$  is an arc (edge) of color  $c$  in  $H$ . The  $(m, n)$ -colored mixed chromatic number  $\chi_{(m,n)}(G)$  of an  $(m, n)$ -colored mixed graph  $G$  is the order (number of vertices) of the smallest homomorphic image of  $G$ . This notion was introduced by Nešetřil and Raspaud (2000, J. Combin. Theory, Ser. B 80, 147–155). They showed that  $\chi_{(m,n)}(G) \leq k(2m+n)^{k-1}$  where  $G$  is a  $k$ -acyclic colorable graph. We proved the tightness of this bound. We also showed that the acyclic chromatic number of a graph is bounded by  $k^2 + k^{2+\lceil \log(2m+n) \log(2m+n) \rceil}$  if its  $(m, n)$ -colored mixed chromatic number is at most  $k$ . Furthermore, using probabilistic method, we showed that for graphs with maximum degree  $\Delta$  its  $(m, n)$ -colored mixed chromatic number is at most  $2(\Delta-1)^{2m+n}(2m+n)^{\Delta-1}$ . In particular, the last result directly improves the upper bound  $2\Delta^{2\Delta}$  of oriented chromatic number of graphs with maximum degree  $\Delta$ , obtained by Kostochka, Sopena and Zhu (1997, J. Graph Theory 24, 331–340) to  $2(\Delta-1)^{2\Delta-1}$ . We also show that there exists a graph with maximum degree  $\Delta$  and  $(m, n)$ -colored mixed chromatic number at least  $(2m+n)^{\Delta/2}$ .

**Keywords:** colored mixed graphs, acyclic chromatic number, graphs with bounded maximum degree, arboricity, chromatic number.

## 1 Introduction

An  $(m, n)$ -colored mixed graph  $G = (V, A \cup E)$  is a graph  $G$  with set of vertices  $V$ , set of arcs  $A$  and set of edges  $E$  where each arc is colored by one of the  $m$  colors  $\alpha_1, \alpha_2, \dots, \alpha_m$  and each edge is colored by one of the  $n$  colors  $\beta_1, \beta_2, \dots, \beta_n$ . We denote the number of vertices and the number of edges of the underlying graph of  $G$  by  $v_G$  and  $e_G$ , respectively. Also, we will consider only those  $(m, n)$ -colored mixed graphs for which the underlying undirected graph is simple. Nešetřil and Raspaud [5] generalized the notion of vertex coloring and chromatic number for  $(m, n)$ -colored mixed graphs by defining colored homomorphism.

Let  $G = (V_1, A_1 \cup E_1)$  and  $H = (V_2, A_2 \cup E_2)$  be two  $(m, n)$ -colored mixed graphs. A colored homomorphism of  $G$  to  $H$  is a function  $f : V_1 \rightarrow V_2$  satisfying

$$uv \in A_1 \Rightarrow f(u)f(v) \in A_2,$$

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$$uv \in E_1 \Rightarrow f(u)f(v) \in E_2,$$

and the color of the arc or edge linking  $f(u)$  and  $f(v)$  is the same as the color of the arc or the edge linking  $u$  and  $v$  [5]. We write  $G \rightarrow H$  whenever there exists a homomorphism of  $G$  to  $H$ .

Given an  $(m, n)$ -colored mixed graph  $G$  let  $H$  be an  $(m, n)$ -colored mixed graph with minimum order (number of vertices) such that  $G \rightarrow H$ . Then the order of  $H$  is the  $(m, n)$ -colored mixed chromatic number  $\chi_{(m,n)}(G)$  of  $G$ . For an undirected simple graph  $G$ , the maximum  $(m, n)$ -colored mixed chromatic number taken over all  $(m, n)$ -colored mixed graphs having underlying undirected simple graph  $G$  is denoted by  $\chi_{(m,n)}(G)$ . Let  $\mathcal{F}$  be a family of undirected simple graphs. Then  $\chi_{(m,n)}(\mathcal{F})$  is the maximum of  $\chi_{(m,n)}(G)$  taken over all  $G \in \mathcal{F}$ .

Note that a  $(0, 1)$ -colored mixed graph  $G$  is nothing but an undirected simple graph while  $\chi_{(0,1)}(G)$  is the ordinary chromatic number. Similarly, the study of  $\chi_{(1,0)}(G)$  is the study of oriented chromatic number which is considered by several researchers in the last two decades (for details please check the recent updated survey [8]). Alon and Marshall [1] studied the homomorphism of  $(0, n)$ -colored mixed graphs with a particular focus on  $n = 2$ .

A simple graph  $G$  is  $k$ -acyclic colorable if we can color its vertices with  $k$  colors such that each color class induces an independent set and any two color class induces a forest. The acyclic chromatic number  $\chi_a(G)$  of a simple graph  $G$  is the minimum  $k$  such that  $G$  is  $k$ -acyclic colorable. Nešetřil and Raspaud [5] showed that  $\chi_{(m,n)}(G) \leq k(2m+n)^{k-1}$  where  $G$  is a  $k$ -acyclic colorable graph. As planar graphs are 5-acyclic colorable due to Borodin [2], the same authors implied  $\chi_{(m,n)}(\mathcal{P}) \leq 5(2m+n)^4$  for the family  $\mathcal{P}$  of planar graphs as a corollary. This result, in particular, implies  $\chi_{(1,0)}(\mathcal{P}) \leq 80$  and  $\chi_{(0,2)}(\mathcal{P}) \leq 80$  (independently proved before in [7] and [1], respectively).

Let  $\mathcal{A}_k$  be the family of graphs with acyclic chromatic number at most  $k$ . Ochem [6] showed that the upper bound  $\chi_{(1,0)}(\mathcal{A}_k) \leq 80$  is tight. We generalize it for all  $(m, n) \neq (0, 1)$  to show that the upper bound  $\chi_{(m,n)}(\mathcal{A}_k) \leq k(2m+n)^{k-1}$  obtained by Nešetřil and Raspaud [5] is tight. This implies that the upper bound  $\chi_{(m,n)}(\mathcal{P}) \leq 5(2m+n)^4$  cannot be improved using the upper bound of  $\chi_{(m,n)}(\mathcal{A}_5)$ .

The arboricity  $arb(G)$  of a graph  $G$  is the minimum  $k$  such that the edges of  $G$  can be decomposed into  $k$  forests. Kostochka, Sopena and Zhu [3] showed that given a simple graph  $G$ , the acyclic chromatic number  $\chi_a(G)$  of  $G$  is also bounded by a function of  $\chi_{(1,0)}(G)$ . We generalize this result for all  $(m, n) \neq (0, 1)$  by showing that for a graph  $G$  with  $\chi_{(m,n)}(G) \leq k$  we have  $\chi_a(G) \leq k^2 + k^{2+\lceil \log_2 \log_p k \rceil}$  where  $p = 2m+n$ . Our bound slightly improves the bound obtained by Kostochka, Sopena and Zhu [3] for  $(m, n) = (1, 0)$ . For achieving this result we first establish some relations among arboricity of a graph,  $(m, n)$ -colored mixed chromatic number and acyclic chromatic number.

Let  $\mathcal{G}_\Delta$  be the family of graphs with maximum degree  $\Delta$ . Kostochka, Sopena and Zhu [3] proved that  $2^{\Delta/2} \chi_{(1,0)}(\mathcal{G}_\Delta) \leq 2\Delta^2 2^\Delta$ . We improve this result in a generalized setting by proving  $p^{\Delta/2} \leq \chi_{(m,n)}(\mathcal{G}_\Delta) \leq 2(\Delta-1)^p p^{\Delta-1}$  for all  $(m, n) \neq (0, 1)$  where  $p = 2m+n$ .

## 2 Preliminaries

A special 2-path  $uvw$  of an  $(m, n)$ -colored mixed graph  $G$  is a 2-path satisfying one of the following conditions:

- (i)  $uv$  and  $vw$  are edges of different colors,
- (ii)  $uv$  and  $vw$  are arcs (possibly of the same color),

- (iii)  $uv$  and  $wv$  are arcs of different colors,
- (iv)  $vu$  and  $vw$  are arcs of different colors,
- (v) exactly one of  $uv$  and  $vw$  is an edge and the other is an arc.

**Observation 1.** *The endpoints of a special 2-path must have different image under any homomorphism of  $G$ .*

*Proof.* Let  $uvw$  be a special 2-path in an  $(m, n)$ -colored mixed graph  $G$ . Let  $f : G \rightarrow H$  be a colored homomorphism of  $G$  to an  $(m, n)$ -colored mixed graph  $H$  such that  $f(u) = f(w)$ . Then  $f(u)f(v)$  and  $f(w)f(v)$  will induce parallel edges in the underlying graph of  $H$ . But as we are dealing with  $(m, n)$ -colored mixed graphs with underlying simple graphs, this is not possible.  $\square$

Let  $G = (V, A \cup E)$  be an  $(m, n)$ -colored mixed graph. Let  $uv$  be an arc of  $G$  with color  $\alpha_i$  for some  $i \in \{1, 2, \dots, m\}$ . Then  $u$  is a  $-\alpha_i$ -neighbor of  $v$  and  $v$  is a  $+\alpha_i$ -neighbor of  $u$ . The set of all  $+\alpha_i$ -neighbors and  $-\alpha_i$ -neighbors of  $v$  is denoted by  $N^{+\alpha_i}(v)$  and  $N^{-\alpha_i}(v)$ , respectively. Similarly, let  $uv$  be an edge of  $G$  with color  $\beta_i$  for some  $i \in \{1, 2, \dots, n\}$ . Then  $u$  is a  $\beta_i$ -neighbor of  $v$  and the set of all  $\beta_i$ -neighbors of  $v$  is denoted by  $N^{\beta_i}(v)$ . Let  $\vec{a} = (a_1, a_2, \dots, a_j)$  be a  $j$ -vector such that  $a_i \in \{\pm\alpha_1, \pm\alpha_2, \dots, \pm\alpha_m, \beta_1, \beta_2, \dots, \beta_n\}$  where  $i \in \{1, 2, \dots, j\}$ . Let  $J = (v_1, v_2, \dots, v_j)$  be a  $j$ -tuple (without repetition) of vertices from  $G$ . Then we define the set  $N^{\vec{a}}(J) = \{v \in V | v \in N^{a_i}(v_i) \text{ for all } 1 \leq i \leq j\}$ . Finally, we say that  $G$  has property  $Q_{g(j)}^{t,j}$  if for each  $j$ -vector  $\vec{a}$  and each  $j$ -tuple  $J$  we have  $|N^{\vec{a}}(J)| \geq g(j)$  where  $j \in \{0, 1, \dots, t\}$  and  $g : \{0, 1, \dots, t\} \rightarrow \{0, 1, \dots, \infty\}$  is an integral function.

### 3 On graphs with bounded acyclic chromatic number

First we will construct examples of  $(m, n)$ -colored mixed graphs  $H_k^{(m,n)}$  with acyclic chromatic number at most  $k$  and  $\chi_{(m,n)}(H_k^{(m,n)}) = k(2m + n)^{k-1}$  for all  $k \geq 3$  and for all  $(m, n) \neq (0, 1)$ . This, along with the upper bound established by Nešetřil and Raspaud [5], will imply the following result:

**Theorem 3.1.** *Let  $\mathcal{A}_k$  be the family of graphs with acyclic chromatic number at most  $k$ . Then  $\chi_{(m,n)}(\mathcal{A}_k) = k(2m + n)^{k-1}$  for all  $k \geq 3$  and for all  $(m, n) \neq (0, 1)$ .*

*Proof.* First we will construct an  $(m, n)$ -colored mixed graph  $H_k^{(m,n)}$ , where  $p = 2m + n \geq 2$ , as follows. Let  $A_{k-1}$  be the set of all  $(k-1)$ -vectors. Thus,  $|A_{k-1}| = p^{k-1}$ .

Define  $B_i$  as a set of  $(k-1)$  vertices  $B_i = \{b_1^i, b_2^i, \dots, b_{k-1}^i\}$  for all  $i \in \{1, 2, \dots, k\}$  such that  $B_r \cap B_s = \emptyset$  when  $r \neq s$ . The vertices of  $B_i$ 's are called *bottom* vertices for each  $i \in \{1, 2, \dots, k\}$ . Furthermore, let  $TB_i = (b_1^i, b_2^i, \dots, b_{k-1}^i)$  be a  $(k-1)$ -tuple.

After that define the set of vertices  $T_i = \{t_a^i | t_a^i \in N^{\vec{a}}(TB_i) \text{ for all } \vec{a} \in A_{k-1}\}$  for all  $i \in \{1, 2, \dots, k\}$ . The vertices of  $T_i$ 's are called *top* vertices for each  $i \in \{1, 2, \dots, k\}$ . Observe that there are  $p^{k-1}$  vertices in  $T_i$  for each  $i \in \{1, 2, \dots, k\}$ .

Note that the definition of  $T_i$  already implies some colored arcs and edges between the set of vertices  $B_i$  and  $T_i$  for all  $i \in \{1, 2, \dots, k\}$ .

As  $p \geq 2$  it is possible to construct a special 2-path. Now for each pair of vertices  $u \in T_i$  and  $v \in T_j$  ( $i \neq j$ ), construct a special 2-path  $uw_{uv}v$  and call these new vertices  $w_{uv}$  as *internal* vertices for all  $i, j \in \{1, 2, \dots, k\}$ . This so obtained graph is  $H_k^{(m,n)}$ .

Now we will show that  $\chi_{(m,n)}(H_k^{(m,n)}) \geq k(2m+n)^{k-1}$ . Let  $\vec{a} \neq \vec{a'}$  be two distinct  $(k-1)$ -vectors. Assume that the  $j^{\text{th}}$  co-ordinate of  $\vec{a}$  and  $\vec{a'}$  is different. Then note that  $t_{\vec{a}}^i b_j^i t_{\vec{a'}}^i$  is a special 2-path. Therefore,  $t_{\vec{a}}^i$  and  $t_{\vec{a'}}^i$  must have different homomorphic image under any homomorphism. Thus, all the vertices in  $T_i$  must have distinct homomorphic image under any homomorphism. Moreover, as a vertex of  $T_i$  is connected by a special 2-path with a vertex of  $T_j$  for all  $i \neq j$ , all the top vertices must have distinct homomorphic image under any homomorphism. It is easy to see that  $|T_i| = p^{k-1}$  for all  $i \in \{1, 2, \dots, k\}$ . Hence  $\chi_{(m,n)}(H_k^{(m,n)}) \geq \sum_{i=1}^k |T_i| = k(2m+n)^{k-1}$ .

Then we will show that  $\chi_a(H_k^{(m,n)}) \leq k$ . From now on, by  $H_k^{(m,n)}$ , we mean the underlying undirected simple graph of the  $(m,n)$ -colored mixed graph  $H_k^{(m,n)}$ . We will provide an acyclic coloring of this graph with  $\{1, 2, \dots, k\}$ . Color all the vertices of  $T_i$  with  $i$  for all  $i \in \{1, 2, \dots, k\}$ . Then color all the vertices of  $B_i$  with distinct  $(k-1)$  colors from the set  $\{1, 2, \dots, k\} \setminus \{i\}$  of colors for all  $i \in \{1, 2, \dots, k\}$ . Note that each internal vertex have exactly two neighbors. Color each internal vertex with a color different from its neighbors. It is easy to check that this is an acyclic coloring.

Therefore, we showed that  $\chi_{(m,n)}(\mathcal{A}_k) \geq k(2m+n)^{k-1}$  while, on the other hand, Nešetřil and Raspaud [5] showed that  $\chi_{(m,n)}(\mathcal{A}_k) \leq k(2m+n)^{k-1}$  for all  $k \geq 3$  and for all  $(m,n) \neq (0,1)$ .  $\square$

Consider a complete graph  $K_t$ . Replace all its edges by a 2-path to obtain the graph  $S$ . For all  $(m,n) \neq (0,1)$ , it is possible to assign colored edges/arcs to the edges of  $S$  such that it becomes an  $(m,n)$ -colored mixed graph with  $t$  vertices that are pairwise connected by a special 2-path. Therefore, by Observation 1 we know that  $\chi_{(m,n)}(S) \geq t$  whereas, it is easy to note that  $S$  has arboricity 2. Thus, the  $(m,n)$ -colored mixed chromatic number is not bounded by any function of arboricity. Though the reverse type of bound exists. Kostochka, Sopena and Zhu [3] proved such a bound for  $(m,n) = (1,0)$ . We generalize their result for all  $(m,n) \neq (0,1)$ .

**Theorem 3.2.** *Let  $G$  be an  $(m,n)$ -colored mixed graph with  $\chi_{(m,n)}(G) = k$  where  $p = 2m+n \geq 2$ . Then  $\text{arb}(G) \leq \lceil \log_p k + k/2 \rceil$ .*

*Proof.* Let  $G'$  be an arbitrary labeled subgraph of  $G$  consisting  $v_{G'}$  vertices and  $e_{G'}$  edges. We know from Nash-Williams' Theorem [4] that the arboricity  $\text{arb}(G)$  of any graph  $G$  is equal to the maximum of  $\lceil e_{G'}/(v_{G'} - 1) \rceil$  over all subgraphs  $G'$  of  $G$ . So it is sufficient to prove that for any subgraph  $G'$  of  $G$ ,  $e_{G'}/(v_{G'} - 1) \leq \log_p k + k/2$ . As  $G'$  is a labeled graph, so there are  $p^{e_{G'}}$  different  $(m,n)$ -colored mixed graphs with underlying graph  $G'$ . As  $\chi_{(m,n)}(G) = k$ , there exists a homomorphism from  $G'$  to a  $(m,n)$ -colored mixed graph  $G_k$  which has the complete graph on  $k$  vertices as its underlying graph. Note that the number of possible homomorphisms of  $G'$  to  $G_k$  is at most  $k^{v_{G'}}$ . For each such homomorphism of  $G'$  to  $G_k$  there are at most  $p^{\binom{k}{2}}$  different  $(m,n)$ -colored mixed graphs with underlying labeled graph  $G'$  as there are  $p^{\binom{k}{2}}$  choices of  $G_k$ . Therefore,

$$p^{\binom{k}{2}} \cdot k^{v_{G'}} \geq p^{e_{G'}} \quad (1)$$

which implies

$$\log_p k \geq (e_{G'}/v_{G'}) - \binom{k}{2}/v_{G'}. \quad (2)$$

If  $v_{G'} \leq k$ , then  $e_{G'}/(v_{G'} - 1) \leq v_{G'}/2 \leq k/2$ . Now let  $v_{G'} > k$ . We know that  $\chi_{(m,n)}(G') \leq \chi_{(m,n)}(G) = k$ . So

$$\begin{aligned}
\log_p k &\geq \frac{e_{G'}}{v_{G'}} - \frac{k(k-1)}{2v_{G'}} \\
&\geq \frac{e_{G'}}{(v_{G'}-1)} - \frac{e_{G'}}{v_{G'}(v_{G'}-1)} - \frac{k-1}{2} \\
&\geq \frac{e_{G'}}{(v_{G'}-1)} - 1/2 - k/2 + 1/2 \\
&\geq \frac{e_{G'}}{(v_{G'}-1)} - k/2.
\end{aligned}$$

Therefore,  $\frac{e_{G'}}{(v_{G'}-1)} \leq \log_p k + k/2$ .  $\square$

We have seen that the  $(m, n)$ -colored mixed chromatic number of a graph  $G$  is bounded by a function of the acyclic chromatic number of  $G$ . Here we show that it is possible to bound the acyclic chromatic number of a graph in terms of its  $(m, n)$ -colored mixed chromatic number and arboricity. Our result is a generalization of a similar result proved for  $(m, n) = (1, 0)$  by Kostochka, Sopena and Zhu [3].

**Theorem 3.3.** *Let  $G$  be an  $(m, n)$ -colored mixed graph with  $\text{arb}(G) = r$  and  $\chi_{(m, n)}(G) = k$  where  $p = 2m + n \geq 2$ . Then  $\chi_a(G) \leq k^{\lceil \log_p r \rceil + 1}$ .*

*Proof.* First we rename the following symbols:  $\alpha_1 = a_0, -\alpha_1 = a_1, \alpha_2 = a_2, -\alpha_2 = a_3, \dots, \alpha_m = a_{2m-2}, -\alpha_m = a_{2m-1}, \beta_1 = a_{2m}, \beta_2 = a_{2m+1}, \dots, \beta_n = a_{2m+n-1}$ .

Let  $G$  be a graph with  $\chi_{(m, n)}(G) = k$  where  $2m + n = p$ . Let  $v_1, v_2, \dots, v_t$  be some ordering of the vertices of  $G$ . Now consider the  $(m, n)$ -colored mixed graph  $G_0$  with underlying graph  $G$  such that for any  $i < j$  we have  $v_j \in N^{a_0}(v_i)$  whenever  $v_i v_j$  is an edge of  $G$ .

Note that the edges of  $G$  can be covered by  $r$  edge disjoint forests  $F_1, F_2, \dots, F_r$  as  $\text{arb}(G) = r$ . Let  $s_i$  be the number  $i$  expressed with base  $p$  for all  $i \in \{1, 2, \dots, r\}$ . Note that  $s_i$  can have at most  $s = \lceil \log_p r \rceil$  digits.

Now we will construct a sequence of  $(m, n)$ -colored mixed graphs  $G_1, G_2, \dots, G_s$  each having underlying graph  $G$ . For a fixed  $l \in \{1, 2, \dots, s\}$  we will describe the construction of  $G_l$ . Let  $i < j$  and  $v_i v_j$  is an edge of  $G$ . Suppose  $v_i v_j$  is an edge of the forest  $F_{l'}$  for some  $l' \in \{1, 2, \dots, r\}$ . Let the  $l^{\text{th}}$  digit of  $s_{l'}$  be  $s_{l'}(l)$ . Then  $G_l$  is constructed in a way such that we have  $v_j \in N^{a_{s_{l'}(l)}}(v_i)$  in  $G_l$ .

Note that there is a homomorphism  $f_l : G_l \rightarrow H_l$  for each  $l \in \{1, 2, \dots, s\}$  such that  $H_l$  is an  $(m, n)$ -colored mixed graph on  $k$  vertices. Now we claim that  $f(v) = (f_0(v), f_1(v), \dots, f_s(v))$  for each  $v \in V(G)$  is an acyclic coloring of  $G$ .

For adjacent vertices  $u, v$  in  $G$  clearly we have  $f(v) \neq f(u)$  as  $f_0(v) \neq f_0(u)$ . Let  $C$  be a cycle in  $G$ . We have to show that at least 3 colors have been used to color this cycle with respect to the coloring given by  $f$ . Note that in  $C$  there must be two incident edges  $uv$  and  $vw$  such that they belong to different forests, say,  $F_i$  and  $F_{i'}$ , respectively. Now suppose that  $C$  received two colors with respect to  $f$ . Then we must have  $f(u) = f(w) \neq f(v)$ . In particular we must have  $f_0(u) = f_0(w) \neq f_0(v)$ . To have that we must also have  $u, w \in N^{a_i}(v)$  for some  $i \in \{0, 1, \dots, p-1\}$  in  $G_0$ . Let  $s_i$  and  $s_{i'}$  differ in their  $j^{\text{th}}$  digit. Then in  $G_j$  we have  $u \in N^{a_{s_i}(j)}(v)$  and  $w \in N^{a_{s_{i'}}(j)}(v)$  for some  $i' \neq i''$ . Then we must have  $f_j(u) \neq f_j(w)$ . Therefore, we also have  $f(u) \neq f(w)$ . Thus, the cycle  $C$  cannot be colored with two colors under the coloring  $f$ . So  $f$  is indeed an acyclic coloring of  $G$ .  $\square$

Thus, combining Theorem 3.2 and 3.3 we have  $\chi_a(G) \leq k^{\lceil \log_p \lceil \log_p k + k/2 \rceil \rceil + 1}$  for  $\chi_{(m, n)}(G) = k$  where  $p = 2m + n \geq 2$ . However, we managed to obtain the following better bound.

**Theorem 3.4.** *Let  $G$  be an  $(m, n)$ -colored mixed graph with  $\chi_{(m, n)}(G) = k \geq 4$  where  $p = 2m + n \geq 2$ . Then  $\chi_a(G) \leq k^2 + k^{2+\lceil \log_2 \log_p k \rceil}$ .*

*Proof.* Let  $t$  be the maximum real number such that there exists a subgraph  $G'$  of  $G$  with  $v_{G'} \geq k^2$  and  $e_{G'} \geq t \cdot v_{G'}$ . Let  $G''$  be the biggest subgraph of  $G$  with  $e_{G''} > t \cdot v_{G''}$ . Thus, by maximality of  $t$ ,  $v_{G''} < k^2$ .

Let  $G_0 = G - G''$ . Hence  $\chi_a(G) \leq \chi_a(G_0) + k^2$ . By maximality of  $G''$ , for each subgraph  $H$  of  $G_0$ , we have  $e_H \leq t \cdot v_H$ .

If  $t \leq \frac{v_H - 1}{2}$ , then  $e_H \leq (t + 1/2)(v_H - 1)$ . If  $t > \frac{v_H - 1}{2}$ , then  $\frac{v_H}{2} < t + 1/2$ . So  $e_H \leq \frac{(v_H - 1) \cdot v_H}{2} \leq (t + 1/2)(v_H - 1)$ . Therefore,  $e_H \leq (t + 1/2)(v_H - 1)$  for each subgraph  $H$  of  $G_0$ .

By Nash-Williams' Theorem [4], there exists  $r = \lceil t + 1/2 \rceil$  forests  $F_1, F_2, \dots, F_r$  which covers all the edges of  $G_0$ . We know from Theorem 3.3  $\chi_a(G_0) \leq k^{s+1}$  where  $s = \lceil \log_p r \rceil$ .

Using inequality (2) we get  $\log_p k \geq t - 1/2$ . Therefore

$$s = \lceil \log_p(\lceil t + 1/2 \rceil) \rceil \leq \lceil \log_p(1 + \lceil \log_p k \rceil) \rceil \leq 1 + \lceil \log_p \log_p k \rceil.$$

Hence  $\chi_a(G) \leq k^2 + k^{2+\lceil \log_p \log_p k \rceil}$ . □

Our bound, when restricted to the case of  $(m, n) = (1, 0)$ , slightly improves the existing bound [3].

## 4 On graphs with bounded maximum degree

Recall that  $\mathcal{G}_\Delta$  is the family of graphs with maximum degree  $\Delta$ . It is known that  $\chi_{(1,0)}(\mathcal{G}_\Delta) \leq 2\Delta^2 2^\Delta$  [3]. Here we prove that  $\chi_{(m,n)}(\mathcal{G}_\Delta) \leq 2(\Delta - 1)^p \cdot p^{(\Delta-1)} + 2$  for all  $p = 2m + n \geq 2$  and  $\Delta \geq 5$ . Our result, restricted to the case  $(m, n) = (1, 0)$ , slightly improves the upper bound of Kostochka, Sopena and Zhu [3].

**Theorem 4.1.** *For the family  $\mathcal{G}_\Delta$  of graphs with maximum degree  $\Delta$  we have  $p^{\Delta/2} \leq \chi_{(m,n)}(\mathcal{G}_\Delta) \leq 2(\Delta - 1)^p \cdot p^{(\Delta-1)} + 2$  for all  $p = 2m + n \geq 2$  and for all  $\Delta \geq 5$ .*

If every subgraph of a graph  $G$  have at least one vertex with degree at most  $d$ , then  $G$  is  $d$ -degenerated. Minimum such  $d$  is the *degeneracy* of  $G$ . To prove the above theorem we need the following result.

**Theorem 4.2.** *Let  $\mathcal{G}'_\Delta$  be the family of graphs with maximum degree  $\Delta$  and degeneracy  $(\Delta - 1)$ . Then  $\chi_{(m,n)}(\mathcal{G}'_\Delta) \leq 2(\Delta - 1)^p \cdot p^{(\Delta-1)}$  for all  $p = 2m + n \geq 2$  and for all  $\Delta \geq 5$ .*

To prove the above theorem we need the following lemma.

**Lemma 4.3.** *There exists an  $(m, n)$ -colored complete mixed graph with property  $Q_{1+(t-j)(t-2)}^{t-1,j}$  on  $c = 2(t-1)^p \cdot p^{(t-1)}$  vertices where  $p = 2m + n \geq 2$  and  $t \geq 5$ .*

*Proof.* Let  $C$  be a random  $(m, n)$ -colored mixed graph with underlying complete graph. Let  $u, v$  be two vertices of  $C$  and the events  $u \in N^a(v)$  for  $a \in \{\pm\alpha_1, \pm\alpha_2, \dots, \pm\alpha_m, \beta_1, \beta_2, \dots, \beta_n\}$  are equiprobable and independent with probability  $\frac{1}{2m+n} = \frac{1}{p}$ . We will show that the probability of  $C$  not having property  $Q_{1+(t-j)(t-2)}^{t-1,j}$  is strictly less than 1 when  $|C| = c = 2(t-1)^p \cdot p^{(t-1)}$ . Let  $P(J, \vec{a})$  denote the probability of the event  $|N^{\vec{a}}(J)| < 1 + (t-j)(t-2)$  where  $J$  is a  $j$ -tuple of  $C$  and  $\vec{a}$  is a  $j$ -vector for some  $j \in \{0, 1, \dots, t-1\}$ . Call such an event a *bad event*. Thus,

$$\begin{aligned}
P(J, \vec{a}) &= \sum_{i=0}^{(t-j)(t-2)} \binom{c-j}{i} p^{-ij} (1-p^{-j})^{c-i-j} \\
&< (1-p^{-j})^c \sum_{i=0}^{(t-j)(t-2)} \frac{c^i}{i!} (1-p^{-j})^{-i-j} p^{-ij} \\
&< 2e^{-cp^{-j}} \sum_{i=0}^{(t-j)(t-2)} c^i \\
&< e^{-cp^{-j}} c^{(t-j)(t-2)+1}.
\end{aligned} \tag{3}$$

Let  $P(B)$  denote the probability of the occurrence of at least one bad event. To prove this lemma it is enough to show that  $P(B) < 1$ . Let  $T^j$  denote the set of all  $j$ -tuples and  $W^j$  denote the set of all  $j$ -vectors. Then

$$\begin{aligned}
P(B) &= \sum_{j=0}^{t-1} \sum_{J \in T^j} \sum_{\vec{a} \in W^j} P(J, \vec{a}) < \sum_{j=0}^{t-1} \binom{c}{j} p^j e^{-cp^{-j}} c^{(t-j)(t-2)+1} \\
&< \sum_{j=0}^{t-1} \frac{c^j}{j!} p^j e^{-cp^{-j}} c^{(t-j)(t-2)+1} \\
&= 2 \sum_{j=0}^{t-1} \frac{p^j}{2^j} \frac{2^{j-1}}{j!} c^j e^{-cp^{-j}} c^{(t-j)(t-2)+1} \\
&< 2 \sum_{j=0}^{t-1} \frac{p^j}{2^j} e^{-cp^{-j}} c^{(t-j)(t-2)+1+j}.
\end{aligned} \tag{4}$$

Consider the function  $f(j) = 2(p/2)^j e^{-cp^{-j}} c^{(t-j)(t-2)+1+j}$ . Observe that  $f(j)$  is the  $j^{\text{th}}$  summand of the last sum from equation (4). Now

$$\begin{aligned}
\frac{f(j+1)}{f(j)} &= \frac{p}{2} \frac{e^{(p-1)cp^{-j-1}}}{c^{t-3}} \\
&> \frac{p}{2} \frac{e^{(p-1)cp^{-(t-1)}}}{c^{t-3}} \\
&> \frac{p}{2} \left( \frac{e^{2(p-1)(t-1)p^{-1}}}{c} \right)^{t-3}
\end{aligned} \tag{5}$$

As  $\frac{p-1}{p} > \frac{1}{2}$ ,

$$\frac{(k-1)^{p-1}}{2} > \ln(k-1) \implies (p-1)(k-1)^{p-1} > \ln(k-1)^p.$$

Furthermore,

$$\frac{(p-1)}{\ln p} (k-1)^{p-1} > \frac{\ln 2}{\ln p} + (k-1) \implies (p-1)(k-1)^{p-1} > \ln(2p^{k-1}).$$

Adding the above two inequalities we get

$$e^{2(p-1)(t-1)p^{t-1}} > 2(t-1)^p p^{t-1} = c.$$

Hence  $\frac{f(j+1)}{f(j)} > \frac{p}{2}$ . Thus, using inequality (4) we get  $P(B) < \sum_{j=0}^{t-1} f(j)$ . This implies

$$P(B) < \begin{cases} \frac{(p/2)^t - 1}{(p/2) - 1} f(0), & \text{if } p > 2 \\ tf(0), & \text{if } p = 2 \end{cases}$$

**Case.1:**  $p > 2$ .

$$\begin{aligned} P(B) &< 2 \cdot \frac{(p/2)^t - 1}{(p/2) - 1} \cdot \frac{c^{(t-1)^2}}{e^{2(t-1)^p p^{t-1}}} \\ &< 4 \cdot \frac{(p/2)^t - 1}{p - 2} \cdot \left( \frac{c}{e^{2p^{t-1}}} \right)^{(t-1)^p} \\ &< 4 \cdot (p/2)^t \cdot \left( \frac{c}{e^{2p^{t-1}}} \right)^{(t-1)^p} \\ &< \left( \frac{pc}{e^{2p^{t-1}}} \right)^{(t-1)^p} \end{aligned} \tag{6}$$

Now, we observe that

$$\begin{aligned} \ln(pc) &< \ln p + \ln 2 + p \ln(t-1) + (t-1) \ln p \\ &= t \ln p + p \ln(t-1) + \ln 2 \\ &< tp + p(t-1) + 2 \\ &< 2tp < 2p^{t-1} \end{aligned}$$

So from the inequality (6), we can say that  $P(B) < 1$  for  $p > 2$ .

**Case.2:**  $p = 2$ .

$$\begin{aligned} P(B) &< 2t \cdot \frac{c^{(t-1)^2}}{e^{(t-1)^2 2^t}} \\ &= 2t \cdot \left( \frac{c}{e^{2^t}} \right)^{(t-1)^2} \\ &< \left( \frac{2tc}{e^{2^t}} \right)^{(t-1)^2} \end{aligned} \tag{7}$$

Observe that,  $\ln c = 2 \ln(t-1) + t \ln 2 < 2(t-1) + 2t = 4t - 2$ .

Now, we see that

$$\ln(2tc) < 4t - 2 + 2t < 6t < 2^t \implies 2tc < e^{2^t} \implies \frac{2tc}{e^{2^t}} < 1$$

So from the inequality (7), we can say that  $P(B) < 1$  for  $p = 2$ . □



Now we are ready to prove Theorem 4.2.

*Proof of Theorem 4.2.* Suppose that  $G$  is an  $(m, n)$ -colored mixed graph with maximum degree  $\Delta$  and degeneracy  $(\Delta - 1)$ . By Lemma 4.3 we know that there exists an  $(m, n)$ -colored mixed graph  $C$  with property  $Q_{1+(\Delta-j)(\Delta-2)}^{\Delta-1,j}$  on  $2(\Delta - 1)^p \cdot p^{(\Delta-1)}$  vertices where  $p = 2m + n \geq 2$  and  $\Delta \geq 5$ . We will show that  $G$  admits a homomorphism to  $C$ .

As  $G$  has degeneracy  $(\Delta - 1)$ , we can provide an ordering  $v_1, v_2, \dots, v_k$  of the vertices of  $G$  in such a way that each vertex  $v_j$  has at most  $(\Delta - 1)$  neighbors with lower indices. Let  $G_l$  be the  $(m, n)$ -colored mixed graph induced by the vertices  $v_1, v_2, \dots, v_l$  from  $G$  for  $l \in \{1, 2, \dots, k\}$ . Now we will recursively construct a homomorphism  $f : G \rightarrow C$  with the following properties:

- (i) The partial mapping  $f(v_1), f(v_2), \dots, f(v_l)$  is a homomorphism of  $G_l$  to  $C$  for all  $l \in \{1, 2, \dots, k\}$ .
- (ii) For each  $i > l$ , all the neighbors of  $v_i$  with indices less than or equal to  $l$  has different images with respect to the mapping  $f$ .

Note that the base case is trivial, that is, any partial mapping  $f(v_1)$  is enough. Suppose that the function  $f$  satisfies the above properties for all  $j \leq t$  where  $t \in \{1, 2, \dots, k - 1\}$  is fixed. Now assume that  $v_{t+1}$  has  $s$  neighbors with indices greater than  $t + 1$ . Then  $v_{t+1}$  has at most  $(\Delta - s)$  neighbors with indices less than  $t + 1$ . Let  $A$  be the set of neighbors of  $v_{t+1}$  with indices greater than  $t + 1$ . Let  $B$  be the set of vertices with indices at most  $t$  and with at least one neighbor in  $A$ . Note that as each vertex of  $A$  is a neighbor of  $v_{t+1}$  and has at most  $\Delta - 1$  neighbors with lesser indices,  $|B| = (\Delta - 2)|A| = s(\Delta - 2)$ . Let  $D$  be the set of possible options for  $f(v_{t+1})$  such that the partial mapping is a homomorphism of  $G_{t+1}$  to  $C$ . As  $C$  has property  $Q_{1+(\Delta-j)(\Delta-2)}^{\Delta-1,j}$  we have  $|C| \geq 1 + s(\Delta - 1)$ . So the set  $D \setminus B$  is non-empty. Thus, choose any vertex from  $D \setminus B$  as the image  $f(v_{t+1})$ . Note that this partial mapping satisfies the required conditions.  $\square$

Finally, we are ready to prove Theorem 4.1.

*Proof of Theorem 4.1.* First we will prove the lower bound. Let  $G_t$  be a  $\Delta$  regular graph on  $t$  vertices. Thus,  $G_t$  has  $\frac{t\Delta}{2}$  edges. Then we have

$$k_t = \chi_{(m,n)}(G_t) \geq \frac{p^{\Delta/2}}{p^{\binom{k_t}{2}/t}}$$

using inequality (1) (see Section 3). If  $\chi_{(m,n)}(G_t) \geq p^{\Delta/2}$  for some  $t$ , then we are done. Otherwise,  $\chi_{(m,n)}(G_t) = k_t$  is bounded. In that case, if  $t$  is sufficiently large, then  $\chi_{(m,n)}(G_t) \geq p^{\Delta/2}$  as  $\chi_{(m,n)}(G_t)$  is a positive integer.

Let  $G = (V, A \cup E)$  be a connected  $(m, n)$ -colored mixed graph with maximum degree  $\Delta \geq 5$  and  $p = 2m + n \geq 2$ . If  $G$  has a vertex of degree at most  $(\Delta - 1)$  then it has degeneracy at most  $(\Delta - 1)$ . In that case by Theorem 4.1 we are done.

Otherwise,  $G$  is  $\Delta$  regular. In that case, remove an edge  $uv$  of  $G$  to obtain the graph  $G'$ . Note that  $G'$  has maximum degree at most  $\Delta$  and has degeneracy at most  $(\Delta - 1)$ . Therefore, by Theorem 4.1 there exists an  $(m, n)$ -colored complete mixed graph  $C$  on  $2(\Delta - 1)^p \cdot p^{(\Delta-1)}$  vertices to which  $G'$  admits a  $f$  homomorphism to. Let  $G''$  be the graph obtained by deleting the vertices  $u$  and  $v$  of  $G'$ . Note that the homomorphism  $f$  restricted to  $G''$  is a homomorphism  $f_{res}$  of  $G''$  to  $C$ . Now include two new vertices  $u'$  and  $v'$  to  $C$  and obtain a new graph  $C'$ . Color the edges or arcs between the vertices of  $C$  and  $\{u', v'\}$  in such a way so that we can extend the homomorphism  $f_{res}$  to a homomorphism  $f_{ext}$  of  $G$  to  $C'$  where  $f_{ext}(u) = u'$ ,  $f_{ext}(v) = v'$  and

$f_{ext}(x) = f_{res}(x)$  for all  $x \in V(G) \setminus \{u, v\}$ . It is easy to note that the above mentioned process is possible.

Thus, every connected  $(m, n)$ -colored mixed graph with maximum degree  $\Delta$  admits a homomorphism to  $C'$ .  $\square$

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